

Overview and Scrutiny Board

3 March 2010

Agenda

The Overview and Scrutiny Board will meet at the **SHIRE HALL, WARWICK** on **Wednesday 3 March 2010 at 2.00 p.m.**

The agenda will be:

1. General

(1) Apologies

(2) Members' Disclosures of Personal and Prejudicial Interests

Members are reminded that they should declare the existence and nature of their personal interests at the commencement of the item (or as soon as the interest becomes apparent). If that interest is a prejudicial interest the Member must withdraw from the room unless one of the exceptions applies.

Membership of a district or borough council is classed as a personal interest under the Code of Conduct. A Member does not need to declare this interest unless the Member chooses to speak on a matter relating to their membership. If the Member does not wish to speak on the matter, the Member may still vote on the matter without making a

(3) Minutes of the meeting of the Overview and Scrutiny Board held on 4 November 2009

The public reports referred to are available on the Warwickshire Web
www.warwickshire.gov.uk/committee-papers

2. LAA Quarter 3 Performance Report 09/10

Report of the Assistant Chief Executive

This report presents an analysis of the LAA performance as at Quarter 3 for 09/10.

Recommendation

The Board is recommended to:-

- Consider the overall performance for LAA indicators for Quarter 3
- Identify any performance issues to be addressed
- Identify any issues for further consideration by the Overview and Scrutiny Board.

For further information please contact Bill Basra, Delivery Manager, Tel: 01926 412127 E-mail billbasra@warwickshire.gov.uk or Louise Richards, Policy & Partnerships Co-ordinator, Tel: 01926 418071 E-mail louiserichards@warwickshire.gov.uk

3. Comprehensive Area Assessment - findings of Year 1 CAA and next steps

Report of the Assistant Chief Executive

The first CAA judgements were published in December 2009. This report brings together the CAA findings for Warwickshire, an overview of CAA findings at the national level and details of activity underway in Warwickshire to address areas for improvement.

Recommendation

The Overview and Scrutiny Board is recommended to:

- Note the outcomes of the first CAA Area Assessment for Warwickshire and reflect this where appropriate in O&S Work Programmes,
- Identify any issues arising from the CAA judgements for joint scrutiny,
- Note the national allocation of green flags for possible sources of best practice.

For further information please contact Monica Fogarty, Assistant Chief Executive, Tel: (01926) 412514 E-mail monicafogarty@warwickshire.gov.uk or Tricia Morrison, Head of Performance, Tel: 01926 736319 E-mail triciamorrison@warwickshire.gov.uk

The public reports referred to are available on the Warwickshire Web
www.warwickshire.gov.uk/committee-papers

4. Scrutiny In-depth Review Work Programme

Report of the Strategic Director for Customers, Workforce and Governance

This report outlines the Scrutiny In-depth Review Work Programme for the Board to review and amend as appropriate.

Recommendation

The Board is recommended:

- i) To review the Scrutiny In-depth Review Work Programme and amend / re-prioritise as appropriate.
- ii) To discuss the proposal to establish a Joint Task and Finish Group to scrutinise the performance of the Public Service Board on a twice yearly basis as outlined in paragraph 3.2.
- iii) To nominate 2 or 3 members of the Board to work with the Overview and Scrutiny Manager to develop detailed proposals for a Joint Task and Finish Group and for these proposals to be discussed at the June meeting of the Board.

For further information please contact Michelle McHugh, Overview and Scrutiny Manager Tel: 01926 412144 Email michellemchugh@warwickshire.gov.uk.

5. Protocols - Local Involvement Networks and Overview & Scrutiny Working Together

Report of the Strategic Director of Customers, Workforce and Governance

This report suggests protocol arrangements to promote good, effective working arrangements and to avoid duplication of work between OSCs and LINKs.

Recommendation

That the Overview and Scrutiny Board agree the draft protocols in Appendix 1 for consultation with the Warwickshire LINK.

For further information please contact Alwin McGibbon, Health Scrutiny Officer, Tel: 01926 412075 E-mail alwinmcgibbon@warwickshire.gov.uk or Jane Pollard, Democratic Services Manager, Tel: 01926 412113 E-mail janepollard@warwickshire.gov.uk.

The public reports referred to are available on the Warwickshire Web
www.warwickshire.gov.uk/committee-papers

6. Overview and Scrutiny Board Work Programme 2009/10

A table setting out provisional items for future meetings is attached.

7. Any Other Items

Which the Chair decides are urgent.

8. Date of Next Meeting

The next meeting is scheduled for 2 June 2010 at 2.00 p.m.

Jim Graham
Chief Executive
Shire Hall
Warwick

Committee Membership

The Overview and Scrutiny Committee Chairs are indicated by reference to their Committees in italics.

Councillors: John Appleton (*Corporate Services & Community Safety*)(Chair), Les Caborn (*Adult & Community Services*)(Vice Chair), Richard Chattaway, Michael Doody (*Environment & Economy*), Jerry Roodhouse, John Ross (*Children, Young People & Families*) and Dave Shilton (*Health*)

District / Borough Council

North Warwickshire Borough Council:

Councillor Martin Davis

Nuneaton and Bedworth Borough Council:

Councillor Tony Lloyd

Rugby Borough Council

Councillor Chris Holman

Stratford-on-Avon District Council

Councillor Valerie Hobbs

Warwick District Council:

Councillor Bill Gifford

Warwickshire Police Authority
NHS Warwickshire

Clive Parsons
Janet Smith

For further information please contact

Ann Mawdsley, Principal Committee Administrator,
Customers, Workforce and Governance Directorate
Tel. 01926 418079 or e-mail annmawdsley@warwickshire.gov.uk.

The public reports referred to are available on the Warwickshire Web
www.warwickshire.gov.uk/committee-papers

MINUTES OF A MEETING OF THE OVERVIEW AND SCRUTINY BOARD HELD ON 4 NOVEMBER 2009

Present:

Members of the Group:

Councillors: John Appleton
Sarah Boad (replacing Councillor Jerry Roodhouse for this meeting)
John Ross
Dave Shilton
June Tandy

Martin Davis (North Warwickshire Borough Council)
Valerie Hobbs (Stratford-on-Avon District Council)
Chris Holman (Rugby Borough Council)
Clive Parsons (Warwickshire Police Authority)

Also present: Councillor Bob Stevens

Officers: David Carter, Strategic Director Customers, Workforce and Governance
Monica Fogarty, Assistant Chief Executive
Ann Mawdsley, Principal Committee Administrator
Michelle McHugh, Overview and Scrutiny Manager

Invited

Speaker: Mary-Ann Bruce, Audit Commission

1. General

The Chair welcomed Councillor June Tandy to the Board.

(1) Apologies

Apologies for absence were received on behalf of Councillor Les Caborn, Councillor Jeff Clarke (Nuneaton and Bedworth Borough Council), Councillor Michael Doody, Councillor Bill Gifford (Warwick District Council), Councillor Jerry Roodhouse (replaced by Councillor Sarah Boad for this meeting) and Janet Smith (NHS Warwickshire).

(2) Members' Disclosures of Personal and Prejudicial Interests

Councillor John Appleton declared a personal interest in any matter relating to Stratford on Avon District Council as a member and portfolio holder of that authority.

(3) Minutes of the meeting of the Overview and Scrutiny Board

Resolved that the minutes of the meeting of the Overview and Scrutiny Board held on 2 September 2009 be agreed as a correct record and signed by the Chair.

2. Comprehensive Area Assessment (CAA)

The Board received a presentation from Mary-Ann Bruce, CAA Lead for Herefordshire, Warwickshire and Worcestershire, setting out the emerging picture from the Area Assessment. She highlighted the following points:

- a. The results of the Area Assessment were under embargo and would be launched to the public on 10 December through the "Oneplace" website. The new Oneplace website would feature the results of the (CAA), based on the collective assessment of six independent inspectorates, highlighting where things were going well as well as any problems and how councils and their partners were working together to improve quality of life for residents. It was noted that these reports would be written in straightforward, jargon-free language.
- b. There were a number of very positive messages in the Area Assessment, for example the work undertaken in relation to domestic violence
- c. Overall Warwickshire scored well on a range of issues, but there were a number of areas where things could be further improved to improve the quality of life for people in Warwickshire, particularly public health and certain areas of educational attainment.
- d. There were a number of areas where overall county figures masked problems in specific areas of Warwickshire and Members welcomed receiving guidance on areas where Warwickshire was statistically not in line with similar authorities.
- e. While it was recognised that there was engagement and commitment across Warwickshire by most partners, there is a need to recognise the contribution all partners can make to local priorities. For example, it was noted that health partners were not involved in issues such as climate change and economic development, despite being the biggest CO² emitters and employers in the county.
- f. It was noted that the Oneplace website would have the National Indicator set, but would not contain the data underpinning the judgments. It was suggested that the Audit Commission should aspire to making this data available from the Oneplace website. Members were informed that the 2007 area breakdown figures used to determine CO² emission figures were available on the DEFRA website.
- g. There was some concern relating to facilities and inclusion of gypsy/traveler groups and this was an area that was likely to be looked at in future assessments.

The Chair thanked Mary-Ann Bruce for her presentation. He summed up that the purpose of the presentation had been to ensure that work programmes developed by the O&S Board and Committees addressed the right issues, many of which had been highlighted by the CAA. He urged Chairs to review their work programmes in light of the issues highlighted in the presentation.

3. Protocol for the Scrutiny of Crime and Disorder Reduction Partnerships in Warwickshire

The Board considered the report of the Strategic Director for Customers, Workforce and Governance introducing a draft protocol aiming to avoid

duplication between the County and District Crime and Disorder Scrutiny Committees.

During discussion the following points were noted:

1. Legislation required each authority to have a Crime and Disorder Committee, therefore there is a need to avoid duplication between County and District level of Crime and Disorder Committees.
2. Guidance surrounding the establishment of joint committees has not yet been produced, but local authorities had the power to establish joint committees. It was pointed out that discussions around forming a joint committee between Stratford and Warwick District Committees were ongoing due to the joint CDRP.
3. The community body set up in Rugby as part of the Home Office pilot to scrutinise CDRPs had been disbanded and replaced by the Community Safety Partnership Scrutiny Panel.
4. In response to a query regarding the difficulty in making issues known to the Police Authority, Clive Parsons reported that all members of the Police Authority had now been assigned to Community Forums, and would, where possible, attend. David Carter added that there was a requirement for dialogue between the County Council and the Police Authority and that Member's comments would be taken on board and built into arrangements for the two authorities.

The Overview and Scrutiny Board were impressed and satisfied with the Protocol. They agreed that the reporting relationship between CDRPs and Crime and Disorder Scrutiny Committees (District and Borough Councils); and between Community Forums and the County Council should be monitored.

4. Proposals for Corporate Business Plan and Budget Scrutiny

The Board considered the report of the Strategic Director for Customers, Workforce and Governance outlining a proposed approach to scrutiny of the Corporate Business Plan 2010/13 and budget proposals for 2010/11.

Members noted their concern at the reference in the penultimate bullet point of point 3.1 of the Protocol to the Board "having to consider - how options reflect the voice and concerns of members of the public". It was noted that this referred to Members' knowledge and experience and did not imply any expectation on Members to carry out specific consultations. It was agreed that this point would be reworded.

The Board agreed:-

- i) to hold a single session to undertake the scrutiny of both the Corporate Business Plan and Budget, using the programme provided in the report on a date to be agreed in week of the 4 – 8 January 2010
- ii) the budget protocol which sets out the principles and objectives of budget scrutiny attached to the report as Appendix A.

5. Six monthly Performance Report

The Board considered the report of the Strategic Director for Customers, Workforce and Governance setting out information about the performance of the Overview and Scrutiny function during the first six months of 2009/10.

During the discussion the following points were noted:

- i. Not all recommendations were implemented in the same year they were made.
- ii. The reduction in the number of people attending committees reflected the reduction in the number of meetings being held.
- iii. Members agreed it would be useful to have sight of the 16% of recommendations made to the Cabinet that had not been agreed.
- iv. There had been a number of reviews in 2007/08, where the recommendations had been agreed by Cabinet but there had been no budget to implement the actions. These recommendations had not been included in the figures given.

The Overview and Scrutiny Board agreed to:

- i. take forward the issues identified in the CAA, specifically the health related issues and work to do with the Health Authority and PCT.
- ii. report back to the relevant OSC on the 16% of recommendations that had not been agreed by the Cabinet.

6. Overview and Scrutiny Strategy Action Plan

The Board considered the report of the Strategic Director for Customers, Workforce and Governance providing an updated Action Plan for the Overview and Scrutiny Strategy 2009/13 and outlining the progress made against the Action Plan.

During the discussion the following points were noted:

1. There were no joint scrutiny exercises commissioned. The Chair noted that the Board was the only scrutiny body involving representatives from all partners and was well equipped to carry out joint scrutiny. Members were invited to discuss with the Chair, any ideas for joint scrutiny.
2. Following some discussion around the monitoring of issues raised at Community Forums, it was noted that these priorities were collated by the Localities and Communities team. David Carter agreed to arrange for these to be shared with members of the Board. It was further noted that Leaders and partners had agreed to a review of Area Committees and Community Forums, and this would start before the end of this year.

The Overview and Scrutiny Board:

- i) agreed the Action Plan for the Overview and Scrutiny Strategy 2009/13
- ii) noted the progress made against the Action Plan
- iii) requested a collated report on issues raised at Community Forums be forwarded to the Board for information.

7. Scrutiny In-depth Review Work Programme

The Board considered the report of the Strategic Director for Customers, Workforce and Governance outlining the Scrutiny In-depth Review Work Programme.

Michelle McHugh reported that the Exclusions and Safeguarding Reviews were both scheduled to begin in November. She added that there had been a further proposal from the Health OSC to carry out a joint review, with the Children, Young People and Families OSC, of the CAHMS service.

Councillor Shilton, Chair of the Health OSC, stated that the Committee was unable to fit the range of issues that needed to be scrutinized into four meetings a year. Councillor Ross noted that the Children, Young People and Families OSC were in a similar position. The Chair noted that Committees would have to look at different ways to deal with issues that arose as resources were limited. He agreed to look into whether additional resources could be found to support extra Health OSC meetings, in view of the issues raised in the CAA.

The Board agreed the Scrutiny In-depth Review Work Programme with the addition of a joint Health and Children, Young People and Families OSC Task and Finish Group to consider the CAHMS service.

8. Overview and Scrutiny Board Work Programme 2009/10

The Board noted the work programme for the forthcoming year.

9. Any Other Items

None

10. Date of Next Meeting

The Board noted that their next meeting would be held on 3 March 2010 at 2.00 p.m.

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Chair

The Board rose at 4.05p.m.

AGENDA MANAGEMENT SHEET

Name of Committee Overview & Scrutiny Board
Date of Committee 3rd March 2010
Report Title LAA Quarter 3 Performance Report 09/10

Summary This report presents an analysis of the LAA performance as at Quarter 3 for 09/10.

For further information please contact:

Bill Basra Delivery Manager Tel: 01926 412127 billbasra@warwickshire.gov.uk	Louise Richards Policy & Partnerships Co-ordinator Tel: 01926 418071 louiserichards@warwickshire.gov.uk
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Would the recommendation decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision] No

Background papers

CONSULTATION ALREADY UNDERTAKEN:- Details to be specified

- Other Committees
- Local Member(s) x Cllr Heather Timms, Cllr Bob Stevens
- Other Elected Members
- Cabinet Member
- Chief Executive
- Legal x Sarah Duxbury
- Finance Virginia Rennie
- Other Chief Officers x Monica Fogarty, Simon Robson, David Carter
- District Councils
- Health Authority
- Police

Other Bodies/Individuals

Michelle McHugh, LAA Block Leads, PSB Members

FINAL DECISION

SUGGESTED NEXT STEPS:

Details to be specified

Further consideration by this Committee

To Council

To Cabinet

To an O & S Committee

To an Area Committee

Further Consultation

Public Service Board

Agenda No

Overview & Scrutiny Board – 3rd March 2010

Quarter 3 - LAA Performance Report 2009/10

Report of the Assistant Chief Executive

Recommendation

The Board is recommended to: -

- Consider the overall performance for LAA indicators for Quarter 3
- Identify any performance issues to be addressed
- Identify any issues for further consideration by the Overview and Scrutiny Board.

1.0 Background

- 1.1 The purpose of this report is to inform the Board of the LAA Quarter 3 Performance position. The LAA performance process has recently been aligned to the Warwickshire County Council performance management framework. The performance analysis is now focussed on the exception reporting i.e. where indicators are failing or exceeding by over 10% plus a robust Improvement Plan
- 1.2 Performance data is submitted from each LAA Block on a quarterly basis. Areas highlighted in this report are areas where targets are being missed (with improvement activities to address the under performance) and areas of over-performance.
- 1.3 In the last quarter (quarter 2) as the LAA was mid point in its project cycle, and as a 'one off' task all blocks were asked (in addition to quarter 2 forecast) to provide forecasts for the end of project period (March 2011). Forecasts were submitted for 72% of LAA indicators. Of these forecasts nearly 80% of indicators are set to hit target, 11% to miss target with the remaining 9% to exceed target. In terms of the reward grant calculation, as at the current forecast allocation this would equate to £ 2,600,000 i.e. 76% of the total grant value of £3,400,000.
- 1.4 The indicators forecast to miss target were in the:
- **Children & Young People Block (3)** - Obesity, Teenage Conceptions, NEETS
 - **Economic Development & Enterprise Block (2)** - benefits and
 - **Safer Communities Block (1)** - anti social behaviour.

Whilst some of these issues are due to data measurement/perception there are also national issues and trends that may account for targets being missed. The County Partnerships Team are currently working with these blocks to ensure that long term improvement actions are in place to increase the likelihood of attainment of end project targets.





2.0 Overall Performance Summary for Quarter 3

2.1 For quarter 3, forecasts were received for 84% of LAA indicators

2.2 The table below shows performance on a range of indicators for Quarter 3. For more detail, please see the Improvement Plan in Appendix 2

- 24% of indicators are forecast to miss target
- 49% of indicators are forecast to meet target
- 8% of indicators are forecast to exceed target within 10%.
- 19% of indicators are forecast to exceed target by more than 10%.

Table 1: LAA Summary Analysis for Quarter 3

Quarter 3 Analysis						
LAA Block	Total number of indicators	Total reported for Qtr 3	Missed target 	Met Target 	Exceeded target (within 10%) 	Exceeded target (more than 10%) 
CYP	22	20 91%	1 5%	17 85%	1 5%	1 5%
HCOP	16	13 81%	5 38%	3 23%	1 8%	4 31%
CCE	9	4 44%	1 25%	1 25%		2 50%
EDE	13	13 100%	6 46%	5 38%		2 15%
Safer	9	8 89%	2 25%		3 37.5%	3 37.5%
Stronger	5	4 80%		4 100%		
Total	74	62 84%	15 24%	30 49%	5 8%	12 19%

2.2 The variation between information given above and that given for end project performance (detailed in Section 1) is accounted for by the fact that performance above is for end March 2010 whereas performance detailed in Section 1 is for March 2011. The longer timeframe in some cases envisages that performance whilst scheduled to miss for the 2nd year of the LAA will improve to ensure delivery of the overall target next year.

2.3 The variation between quarter 2 and quarter 3 forecasts can be seen in the table below. The number of indicators that are forecast to under-perform or over-perform has increased since Quarter 2.

Table 2: Trend information on q2 and q3 forecasts

Quarter	% indicators under performing	% indicators over performing
Q2	14.3% (6)	14.3% (6)
Q3	24% (15)	19% (12)

3.0 Performance by Exception – Summary of Overall Performance

3.1 The indicators forecast to miss target are;

Economic Development & Enterprise Block

- Working age people on out of work benefits both in Warwickshire and priority wards
- VAT registration rate per 10,000 head of population both in Warwickshire and Nuneaton & Bedworth
- Working age people qualified to level 2
- Average earnings, Warwickshire compared to the South East region

Healthier Communities & Older People Block

- Reduce the number of people who fall
- All age mortality rate
 - males and females at county level
 - males and females in Nuneaton & Bedworth

Safer Communities Block

- Serious violent crime rate including Domestic Violence (per 1,000 and number of offences)

Children & Young People Block

- Under 18 Conception Rate %

Climate Change & Environment

- Per capita reduction in CO2 emissions within the Local Authority Area

3.2 The Exception Report and Improvement plan in Appendix 1& 2 hold the detail on these indicators together with improvement activities, which will address the poor performance, including;

- The reduction in teenage pregnancies continues to miss target. Involvement of young people is enabling more innovative approaches to improving services and enhancing education, however the impact of this work is difficult to measure. The only available data (nationally) is two years out of date, which makes it difficult to assess if targeted interventions are working. Government Office West Midlands has requested the creation of a local indicator as a matter of urgency
- Economic development indicators have been reporting poor performance throughout the year and it is anticipated that a majority of these will miss target at year end.

- Whilst there has been a reduction in the level of residual household waste per household and the amount of household waste recycled, the level of municipal waste to landfill still needs reducing. Essentially this means that whilst we are improving the amount of recycling, we are still putting too much into Landfill.

3.3 The indicators forecast to exceed target by more than 10% are;

Healthier Communities & Older People Block

- Vulnerable people achieving independent living
- Carers receiving a service or specific information and advice and an assessment or review
- Improved emotional health in the workplace – training managers
- All age all cause mortality – Disparity within deprivation quintiles of Warwickshire

Safer Communities Block

- Re-offending rate of prolific and priority offenders
- Road casualties – Killed or seriously injured (absolute number and percentage change 3 year rolling average)

Economic Development & Enterprise Block

- Working age people qualified to level 2 – gap between the north of the county & Warwickshire average
- Level 4 qualifications – gap between Warwickshire and the South East region

Climate Change & Environment

- Residual Household Waste / Household (kg)
- Improved Local Biodiversity – Active management of local sites (%)

Children & Young People Block

- First time entrants into the Youth Justice System

3.4 Again the Improvement Plan in Appendix 2 holds the detail on these indicators together good new stories on the over performing indicators, including;

- We have improved the speed at which we assess carers' needs and requirements. The % of carers receiving needs assessment or review and a specific carers' service or advice and information (NI135) has increased from a baseline (08/09) of 34.6% to 65% (Q3).
- Independent Living policies are having an impact with an increase in the numbers of vulnerable people achieving independent living (NI141) increased from a baseline (08/09) of 65.85% to 75% (Q3).
- The re-offending rate of prolific and priority offenders has been reduced by 46% in Quarter 3, this compares to a reduction of 26% in 2008/9. It is anticipated that this should have a positive impact on other areas such as the levels of acquisitive crime (NI016).

4.0 Ongoing Improvement and Next Steps

4.1 Improvement activity will continue to be developed and managed by the blocks to address areas of under or over performance. Block Leads will continue to monitor performance and challenge under performing areas via their Block Leads meetings and submit performance summaries for consideration at the Public Service Board.

4.2 The LAA Review and Refresh Processes is currently underway with the Block leads and Government Office West Midlands. This process will involve reviewing/renegotiating targets, appropriateness of data measurement, identifying relevant proxy measures and Block specific issues such as the alignment of the Police and LAA targets in the Safer Communities Block. Any changes resulting from this process will be reported to Full Council and Cabinet.

5.0. Recommendations

The Board is recommended to: -

- Consider the overall performance for LAA indicators for Quarter 3
- Identify any performance issues to be addressed
- Identify any issues for further consideration by the Overview and Scrutiny Board.

Monica Fogarty
Assistant Chief Executive
February 2009

LAA Complete Exception Report



Ref	Indicator	Aim	Baseline	Cumulative Actual 31/12/2009	YE Forecast 31/12/2009	Target 31/03/2010	Alert	Suggested improvement activity
CYP								
NI111	First time entrants to the Youth Justice System aged 10-17 (Number)	Smaller is Better	574.00	399.00	540.00	665.00		
NI112	Under 18 conception rate (%).	Smaller is Better	36.90	39.40	39.40	24.50		
CC&E								
NI191	Residual Household Waste per Household (Kg)	Smaller is Better	665.00	?	620.00	702.00		<p>Ref Lead Officer updates 24/12/09 and 11/1/10 - Forecast for 2009/10 outturn is 605 kgs, but by end of Q3 we expect it to raise to 620 kgs as we have the increase waste over Christmas.</p> <p>Q3 update comment - The collections authorities will not receive their recycling data from the contractor for a number of weeks, and until they claim their recycling credits, we would not know their figures, so late January would be the earliest for them, and the same would go for the disposal figures for us, as invoices do not arrive to mid month for the past months disposal. That is why we are always a quarter behind.</p>








1) Defra has chosen Warwickshire as one of the four areas to pilot a "Campaign for the Farmed Environment". This campaign is to get 70% of farms into the Entry Level Agri-Environment Scheme. This was launched in December 2009 and WCC is attending the Steering Group meetings providing Habitat Biodiversity Audit (HBA), Wildlife Site and species data plus WCC smallholdings information to focus action towards creating an ecologically connected and resilient landscape.









2) The Forestry Commission has created a new grant targeting management of Local Wildlife Site woodlands only within Local Authority areas that have NI197 in their Local Area Agreements. It is anticipated that both these schemes will assist in meeting the LAA NI197 target.






3) WCC Ecology Unit and SDC are working together to identify Local Wildlife Sites within SDC ownership to promote surveying and management on these sites.

4) WCC Mineral Local Development Plan evidence base work has resulted in 48 potential Local Wildlife Sites (pLWS) in addition to the annual number of 47 sites being surveyed. Therefore, the total number of pLWS still to be surveyed is forecasted to be 1145 (2008/09 Q4 figure was 1240)

								<p>5) WCC is looking to apply for a WREN Landfill Tax grant to promote sensitive management of roadside verges, which will include a number of pLWSs, the decision for this application would be June 2010 so will influence next year's figures.</p> <p>6) WCC and Warwickshire Bat group are looking to apply for a SITA Landfill Tax grant to promote management in and surrounding Whichford Wood SSSI for Barbastelle Bats (EU special protected bats) of which may resulting neighbouring LWS being brought into sensitive management. The decision for this application would be June 2010 so will influence next year's figures.</p> <p>7) The Local Wildlife Sites partnership is developing mechanisms to process and audit management on LWSs for NI197 reporting. We are still awaiting national guidance on questions raised in June 2009 during a West Midlands regional meeting organised by Defra, WCC and GOWM</p>
NI197	Improved Local Biodiversity - Active Management of Local Sites (%)	Bigger is Better	31.00	?	31.00	26.00		
ED&E								
Li152a	% Working age people on out of work benefits - Priority Wards	Smaller is Better	15.69	14.90	15.20	13.00		Latest available data relates to May 2009
Li166a	Average earnings of employees in the area - Proportion of Warwickshire to South East average (%)	Bigger is Better	97.00	96.50	96.50	97.25		Latest available data relates to 2009

Li171a	VAT registration rate - Nuneaton & Bedworth (rate per 10,000)	Bigger is Better	29.00	86.50	86.50	90.00		Latest available data refers to 2008
NI152	% Working age people on out of work benefits - Warwickshire (%)	Smaller is Better	10.12	9.60	9.80	8.30		Cumulative actual relates to May 2009
NI163	Working age population qualified to at least Level 2 or higher - NB: targets relate to previous years performance due to time lag in data publication - Warwickshire (%)	Bigger is Better	76.74	73.20	73.20	78.50		Latest available data relating to the period January - December 2008
NI171	VAT registration rate (rate per 10,000)	Bigger is Better	44.00	128.40	128.40	129.00		Latest available data refers to 2008
Li163a	% Working age population qualified to at least Level 2 or higher (NB: targets relate to previous years performance due to time lag in data publication) - Gap between North of County and County average	Smaller is Better	7.50	4.60	4.60	7.00		Latest available data relates to January - December 2008
Li165a	% Working age population qualified to at least Level 4 or higher - Gap between Warwickshire and the South East	Smaller is Better	0.95	-0.60	-0.60	1.00		Latest available data relates to January - December 2008
HCOP								
Li120a	All age all cause mortality - Males Nuneaton & Bedworth (Rate per 100,000)	Smaller is Better	753.00	767.32	767.32	700.00		figures quoted are standardised death rates 2006-8 (average), and it is reported for year ending 2009/10. ONS- Published mortality figures are always a year behind

Li120b	All age all cause mortality - Females Nuneaton & Bedworth (Rate per 100,000)	Smaller is Better	525.00	543.84	543.84	530.00		figures quoted are standardised death rates 2006-8 (average), and it is reported for year ending 2009/10. ONS Published mortality figures are always a year behind
Li403	Reduce the number of people that fall - People 65+ with fractured neck of femur (per 100,000)	Smaller is Better	403.50	465.00	465.00	442.10		Figures quoted at the moment are for 2008/9. Figures for 2009/10 will not be available for estimation until end of financial year, 31 March 2010
NI120f	All-age all cause mortality rate - countywide female (Rate per 100,000)	Smaller is Better	477.00	486.59	486.59	476.00		figures quoted are standardised death rates 2006-8 (average), and it is reported for year ending 2009/10. ONS Published mortality figures are always a year behind
NI120m	All-age all cause mortality rate - countywide male (Rate per 100,000)	Smaller is Better	666.00	664.61	664.61	628.00		figures quoted are standardised death rates 2006-8 (average), and it is reported for year ending 2009/10. ONS Published mortality figures are always a year behind
Li120e	All age all cause mortality - Disparity within deprivation quintiles of Warwickshire	Smaller is Better	4.90	4.90	4.90	7.20		
Li402b	Improve emotional health in the workplace - Training Managers	Bigger is Better	0.00	92.00	92.00	65.00		24 days training provided across public sector in 2009 funded by Big Lottery. 12 / 13 organisations accessed training, and many provided additional days.
NI135	Carers receiving needs assessment or review and a specific carer's service or advice and information (%)	Bigger is Better	34.60	54.10	65.00	48.00		
NI141	Vulnerable people achieving independent living - Supporting People (%)	Bigger is Better	65.85	77.24	75.00	65.00		This is quarter 2 information, quarter 3 information will not be available until early March
Safer								

NI015	Serious violent crime rate, including Domestic Violence (per 1,000)	Smaller is Better	0.58	0.45	0.58	0.56		No longer on course to meet target, although the number of offences did reduce significantly in December compared to previous months. Off target in Nuneaton and Bedworth and Rugby - partnership work continues to attempt to bring this indicator back under target by the end of the year.
Li015a	Serious violent crime rate - to include Domestic Violence (Number of offences)	Smaller is Better	306.00	238.00	310.00	294.00		No longer on course to meet target, although the number of offences did reduce significantly in December compared to previous months. Off target in Nuneaton and Bedworth and Rugby - partnership work continues to attempt to bring this indicator back under target by the end of the year.
NI047	People killed or seriously injured in road traffic accidents (percentage change on 3 year rolling average)	Bigger is Better	5.23	11.27	7.24	1.00		The actual figure is the rolling 3 year average as at Q3.
Li047a	People killed or seriously injured in road traffic accidents - Number of casualties	Smaller is Better	343.00	211.00	318.00	389.00		The actual figure is the year to date at Q3 i.e. January to September inclusive due to road casualties being reported in calendar years.
NI030	Re-offending rate of prolific and priority offenders (%)	Bigger is Better	26.00	28.00	44.00	19.00		Figures for Quarter 1 only - delay with data as this has to be received from national sources. Positive performance the result of good partnership working and effective management of PPOs.

AGENDA MANAGEMENT SHEET

Name of Committee Overview and Scrutiny Board

Date of Committee 3rd March 2010

Report Title Comprehensive Area Assessment - findings of Year 1 CAA and next steps

Summary The first CAA judgements were published in December 2009. This report brings together the CAA findings for Warwickshire, an overview of CAA findings at the national level and details of activity underway in Warwickshire to address areas for improvement.

For further information please contact:

Monica Fogarty Assistant Chief Executive Tel: (01926) 412514 monicafogarty@warwickshire.gov.uk	Tricia Morrison Head of Performance Tel: 01926 736319 triciamorrison@warwickshire.gov.uk
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Would the recommendation decision be contrary to the Budget and Policy Framework? [please identify relevant plan/budget provision] No.

Background papers The individual judgments for organisations in Warwickshire can be found on the OnePlace Website:
<http://oneplace.direct.gov.uk/pages/default.aspx>

CONSULTATION ALREADY UNDERTAKEN:-

Details to be specified

- Other Committees
- Local Member(s)
- Other Elected Members Cllr Appleton.....
- Cabinet Member Cllr Farnell.....
- Chief Executive
- Legal Sarah Duxbury.....
- Finance
- Other Strategic Directors Monica Fogarty, David Carter

- District Councils
- Health Authority
- Police
- Other Bodies/Individuals Michelle McHugh, Ann Mawdsley

FINAL DECISION YES/NO

SUGGESTED NEXT STEPS:

Details to be specified

- Further consideration by this Committee
- To Council
- To Cabinet
- To an O & S Committee
- To an Area Committee
- Further Consultation

Overview and Scrutiny Board – 3rd March 2010

Comprehensive Area Assessment - Year 1 CAA findings and next steps

Report of the Assistant Chief Executive

Recommendation

The Overview and Scrutiny Board is recommended to:

- Note the outcomes of the first CAA Area Assessment for Warwickshire and reflect this where appropriate in O&S Work Programmes,
- Identify any issues arising from the CAA judgements for joint scrutiny,
- Note the national allocation of green flags for possible sources of best practice.

1.0 Background

1.1 Comprehensive Area Assessment, or CAA, was introduced in April 2009 and examines how well councils and other public bodies are working together to meet the needs of the people they serve.

1.2 CAA is made up of two interconnected elements: an area assessment and individual organisational assessments, both of which are informed by a range of information including the National Indicator Set, additional locally collected performance information, the views of people that use local services and finding from inspection, regulation and audit.

- **The Area Assessment** looks at how well local public services are delivering better results for local people across the whole area, focusing on agreed local priorities set out within the Warwickshire Sustainable Community Strategy, and how likely they are to improve in the future.
- Each Council in Warwickshire and the Fire and Rescue Authority are also subject to an **Organisational Assessment**, which examines the performance and value for money of the organisation as well as how effectively they work with partners.

2.0 The Findings

2.1 Mary-Ann Bruce, then CAA Lead for Warwickshire presented the emerging findings of CAA to the Overview and Scrutiny Board at its meeting of the 4th November 2009. The overall picture for Warwickshire has changed little since this time and remains overall a positive one. It should also be noted that Gary Hammersley

replaced Mary-Ann Bruce as CAA Lead for Warwickshire and will cover Coventry and Solihull as well as Warwickshire. This will enable the inspectorates to gain a greater knowledge of the increasing sub-regional element of partnership working in Warwickshire.

- 2.2 The first round of CAA judgements were published on the 9th December. CAA findings will be published annually and provide an annual snapshot of the quality of life in the area. The results are publicly available on a new website known as [Oneplace](#). A briefing note was circulated to all Warwickshire Elected Members in December summarising the CAA Area Assessment judgement as well as the individual Organisational Assessment findings for all six local authorities as well as the Use of Resources findings for the Police and NHS Warwickshire. This can be accessed on the Warwickshire Web at www.warwickshire.gov.uk/caa

3.0 Area Assessment

- 3.1 The Area Assessment is not scored. Instead exceptional themes or areas of activity may be awarded either red or green flags. Green flags represent exceptional performance or outstanding improvement. Red flags are reported where there are significant concerns or risks.
- 3.2 The Area Assessment judgement uses the Warwickshire Sustainable Community Strategy (SCS) as its basis and acknowledges that Warwickshire has a strong understanding of what its communities want which is in turn reflected in the SCS. The Area Assessment highlights the introduction of community forums as one way in which Warwickshire is improving the way its organisations listen to local residents.
- 3.3 Warwickshire has been awarded one red flag, as CAA has judged that without improved joint working practices between health agencies and other public bodies, in a climate of increasing pressure on public funds, the prospects for Warwickshire will be challenging.

4.0 Organisational Assessments

- 4.1 The effectiveness of councils and Fire and Rescue Authorities is assessed through Organisational Assessments, the findings of which inform and are informed by the Area Assessment. Each of the Councils in Warwickshire along with the Fire and Rescue Authority have received a scored judgement showing how well it delivers value for money in the Use of Resources and how well it manages its performance. The scores are combined to provide an overall score from 1 – 4 (where 1 equals poor performance and 4 equals excellent performance). Warwickshire Police and Warwickshire PCT are also assessed as part of the CAA framework and have received a Use of Resources score for 2009. The scores are set out in the table below with the headline messages for each of Warwickshire's organisations available on the Warwickshire Intranet.

Organisation	Organisational Assessment score (Overall)	Use of Resources	Managing Performance
North Warwickshire Borough Council	2 out of 4	2 out of 4 Managing Finances – 2 Governing the Business – 2 Managing resources 2	2 out of 4
Nuneaton and Bedworth Borough Council	2 out of 4	2 out of 4 Managing Finances – 2 Governing the Business – 2 Managing resources 2	2 out of 4
Rugby Borough Council	2 out of 4	2 out of 4 Managing Finances – 2 Governing the Business – 2 Managing resources 2	2 out of 4
Warwick District Council	2 out of 4	2 out of 4 Managing Finances – 2 Governing the Business – 2 Managing resources 2	2 out of 4
Stratford District Council	3 out of 4	2 out of 4 Managing Finances – 2 Governing the Business – 2 Managing resources 2	3 out of 4
Warwickshire County Council	3 out of 4	3 out of 4 Managing Finances – 3 Governing the Business – 3 Managing resources 3	3 out of 4
Warwickshire Police	-	3 out of 4 Managing Finances – 3 Governing the Business – 3 Managing resources 2	-
Warwickshire PCT	-	Managing Finances – 2 Governing the Business – 2 Managing resources 2	-

4.2 The Organisational Assessment element of the CAA for the County Council is also informed by the outcome of two assessments of local authority services for children and young people (Ofsted) and adult social care (Care Quality Commission).

4.3 The rating for children's services in Warwickshire County Council is that it performs well. The overall effectiveness of the large majority of inspected and regulated

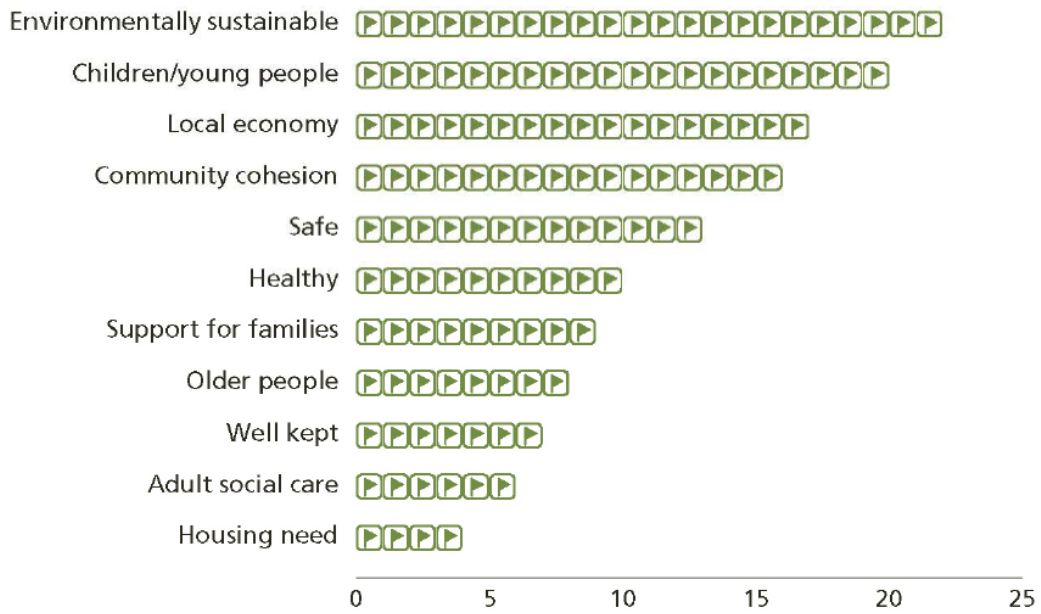
services and settings in Warwickshire is good. The Local Authority Fostering Service was judged as outstanding in its most recent inspection. Performance against the very large majority of national indicators, including those for staying safe and enjoying and achieving is in line or better than in similar areas nationally. The report can be found on the Ofsted Website ([http://www.ofsted.gov.uk/oxcare_providers/la_view/\(leaid\)/937](http://www.ofsted.gov.uk/oxcare_providers/la_view/(leaid)/937)) and the findings detailed in the report will be embedded within the Directorate Business Plan and the Warwickshire Children and Young People's Plan.

- 4.4 The CQC has now confirmed that for the performance year 2008/09 adult social care in Warwickshire is rated as “performing well”. This judgement is extremely positive and although it falls in line with the 2 star rating that we received for 2007/08 the level of continued improvement and progress in relation to adult social care services in the County is highlighted through the specific judgements that have been made by the CQC in relation to the outcomes against which we are assessed. When compared to the results we received in our 2007/08 assessment we have improved in two of the seven areas, achieving our first excellent rating in relation to “making a positive contribution” and moving up from an adequate judgement for “freedom from discrimination or harassment” in 2007/08 to performing well in this area last year. The Directorate has in place a clear and robust performance management framework to ensure the delivery of these improvement areas and will be providing regular updates to the CQC around progress in these and other areas to support future annual performance assessment judgements.

5.0 The National Picture – sharing best practice

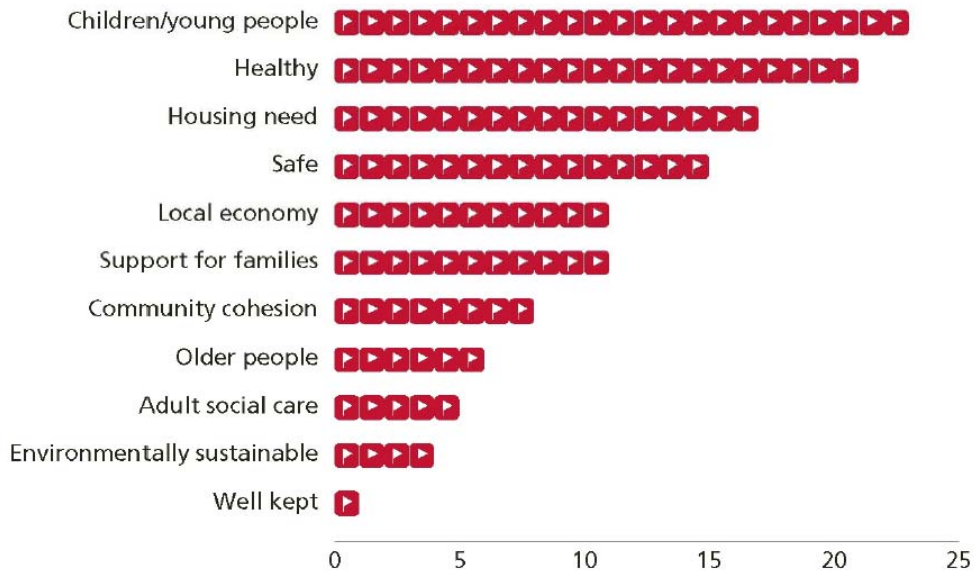
- 5.1 The CAA findings form an important part of developing evidence in the work of Overview and Scrutiny. Overview and Scrutiny will be able to draw on the outcomes of Warwickshire’s various assessments to inform the development of the County’s O&S Work Programme and the identification of areas for joint scrutiny. CAA also presents an enhanced opportunity to learn from other areas and organisations through the analysis of green and red flags.
- 5.2 In total, across all areas in England, 74 green flags have been issued. 25 of these flags relate to areas covered by County Councils. A total of 62 red flags have been issued, 15 of which relate to areas covered by County Councils.
- 5.3 The number of local authorities receiving the highest score under the organisational assessment of 4 – performing excellently, has dropped significantly when compared to the number who achieved the top score under the CPA framework. Only three County Councils have been awarded the top score of 4 for the Organisational Assessment.
- 5.4 The allocation of green flags, denoting exceptional performance, are useful sources of best practice from which we can learn. Figure 1 and 2 below shows the overall breakdown of green and red flags by theme. The table at Appendix A provides further breakdown of the green and red flags which O&S may wish to learn from.

Figure 1: The distribution of green flags across themes



Source: Audit Commission

Figure 2: The distribution of red flags across themes



Source: Audit Commission

6.0 Improvement Activity

- 6.1 Those areas for improvement highlighted through the CAA Area Assessment have now been incorporated within “Addressing Partnership Effectiveness – An Improvement Plan for Partnership Working in Warwickshire”, which was endorsed by the PSB in September. Work has progressed on implementing the Plan including support from the West Midlands Regional Improvement and Efficiency Partnership. This plan will inform the work of the Partnership and will be monitored accordingly.
- 6.2 The Warwickshire Public Service Board has commissioned the Improvement and Development Agency (IDeA) to undertake a Peer Review of partnership working in Warwickshire. This will take place in the week commencing the 1st March 2010 and will focus upon relationships between local public services and how well partners are working together to deliver better outcomes for the people of Warwickshire. The outcomes of a recent ‘Partnership Questionnaire’ as well as the issues highlighted by the receipt of the ‘red flag’ will form the key evidence of the visit which will culminate in a set of recommendations upon which to build.
- 6.3 The specific improvement activity identified from Warwickshire County Council’s Organisational Assessment will be brought together into our Corporate Improvement Programme. This will be just one part of the Corporate Improvement Programme that will inform, and be informed by the Corporate Business Plan.

7.0 Recommendation

The Overview and Scrutiny Board is recommended to:

- Note the outcomes of the first CAA Area Assessment for Warwickshire and reflect this where appropriate in O&S Work Programmes,
- Identify any issues arising from the CAA judgements for joint scrutiny,
- Note the national allocation of green flags for possible sources of best practice.

Report of the Assistant Chief Executive

Monica Fogarty

3rd March 2010

CCN Member	Area Assessment				Organisational Assessment			Children's Services	Adult Social Care	Fire Organisational Assessment*		
	Green Flags		Red Flags		Overall	Managing Performance	Use of Resources			Overall	Managing Performance	Use of Resources
	No.	Awarded For	No.	Awarded For								
Buckinghamshire	0	n/a	0	n/a	3	3	3	3	3	n/a	n/a	n/a
Cambridgeshire	1	• Waste partnership - reducing waste	0	n/a	3	3	3	3	3	n/a	n/a	n/a
Central Bedfordshire	0	n/a	0	n/a	n/a	n/a	n/a	2	n/a	n/a	n/a	n/a
Cheshire East	0	n/a	0	n/a	n/a	n/a	n/a	3	n/a	n/a	n/a	n/a
Cheshire West and Chester	0	n/a	0	n/a	n/a	n/a	n/a	3	n/a	n/a	n/a	n/a
Cornwall	1	• Improving skills and further education	2	• Safeguarding Children and Young People's wellbeing and children looked after by the council • Housing provision and quality	n/a	n/a	1	1	2	1	1	1
Cumbria	1	• Tackling worklessness in Barrow-in-Furness	0	n/a	2	2	2	2	3	2	2	2
Derbyshire	1	• Reducing motorcycle accidents	0	n/a	3	3	2	3	3	n/a	n/a	n/a
Devon	2	• Domestic Violence • Working with local people to tackle climate change	0	n/a	3	3	3	2	3	n/a	n/a	n/a
Dorset	1	• Engaging older people in Dorset to develop the services they need	1	• Housing quality and affordable housing	3	3	3	3	3	n/a	n/a	n/a
Durham	0	n/a	0	n/a	n/a	n/a	2	3	3	n/a	n/a	n/a
East Riding of Yorkshire	1	• Pooling resources to tackle local priorities	0	n/a	3	3	3	3	3	n/a	n/a	n/a
East Sussex	0	n/a	0	n/a	3	3	3	3	3	n/a	n/a	n/a
Essex	1	• Response to the recession	1	• Safeguarding Essex children	2	2	3	1	3	n/a	n/a	n/a
Gloucestershire	1	• Responding to major flooding	0	n/a	3	3	3	3	3	3	3	3

CCN Member	Area Assessment				Organisational Assessment			Children's Services	Adult Social Care	Fire Organisational Assessment*		
	Green Flags		Red Flags		Overall	Managing Performance	Use of Resources			Overall	Managing Performance	Use of Resources
	No.	Awarded For	No.	Awarded For								
Hampshire	0	n/a	0	n/a	4	4	3	3	3	n/a	n/a	n/a
Herefordshire	0	n/a	0	n/a	2	3	2	3	3	n/a	n/a	n/a
Hertfordshire	0	n/a	1	• Planning for new housing	3	3	3	2	3	3	3	3
Isle of Wight Council	0	n/a	0	n/a	2	2	2	2	3	2	2	2
Kent	2	• Gateways • Improving skills to match Kent's growing economy	0	n/a	4	4	3	3	3	n/a	n/a	n/a
Lancashire	1	• Improving the quality of life and maintaining independence for older people	1	• High death rates in deprived areas	3	3	3	2	4	n/a	n/a	n/a
Leicestershire	1	• Better value for money in road improvements	0	n/a	4	4	3	3	3	n/a	n/a	n/a
Lincolnshire	0	n/a	1	• Providing the right homes for people who need them most	3	3	2	3	3	3	3	2
Norfolk	1	• Your Norfolk, your decision	0	n/a	3	3	3	3	3	2	2	2
North Yorkshire	1	• Helping older and vulnerable people to keep their independence	0	n/a	3	3	3	3	4	n/a	n/a	n/a
Northamptonshire	1	• North Northamptonshire - Recovery and Rejuvenation	1	• Alcohol harm	2	3	2	2	3	2	2	2
Northumberland	0	n/a	0	n/a	n/a	n/a	2	3	3	3	3	2
Nottinghamshire	2	• Use of public transport • Access to services for older people	1	• Crime and community safety in the north of Nottinghamshire	3	3	2	2	4	n/a	n/a	n/a
Oxfordshire	0	n/a	0	n/a	3	3	3	3	3	3	3	3
Shropshire	0	n/a	0	n/a	n/a	n/a	3	3	3	n/a	n/a	n/a
Somerset	1	• Promoting independence and better health for older people	1	• Working together to provide good quality, affordable homes	3	3	3	3	3	n/a	n/a	n/a

CCN Member	Area Assessment				Organisational Assessment			Children's Services	Adult Social Care	Fire Organisational Assessment*		
	Green Flags		Red Flags		Overall	Managing Performance	Use of Resources			Overall	Managing Performance	Use of Resources
	No.	Awarded For	No.	Awarded For								
Staffordshire	0	n/a	0	n/a	3	3	3	3	3	n/a	n/a	n/a
Suffolk	3	<ul style="list-style-type: none"> Developing Green technologies - wind and wave power Coastal Protection in Bawdsey Tackling street prostitution in Ipswich 	2	<ul style="list-style-type: none"> Rural economic inequality and lack of high value jobs Learning and skills outcomes 	3	3	3	3	3	3	3	2
Surrey	0	n/a	0	n/a	2	2	2	2	2	3	3	2
Warwickshire	0	n/a	1	<ul style="list-style-type: none"> Ensuring all organisations improve the success and wellbeing of the people of Warwickshire 	3	3	3	3	3	2	2	2
West Sussex	0	n/a	0	n/a	3	2	3	2	3	2	3	2
Wiltshire	1	<ul style="list-style-type: none"> Involving people in decisions that affect their local community 	0	n/a	n/a	n/a	2	3	3	n/a	n/a	n/a
Worcestershire	1	<ul style="list-style-type: none"> Reducing CO2 emissions, water use and addressing climate change 	2	<ul style="list-style-type: none"> Differences in quality of life in Redditch Flood defences in Tenbury wells 	3	3	3	2	3	n/a	n/a	n/a

*Scores included where the fire service is part of the county council.

AGENDA MANAGEMENT SHEET

Name of Committee Overview And Scrutiny Board

Date of Committee 3rd March 2010

Report Title Scrutiny In-depth Review Work Programme

Summary This report outlines the Scrutiny In-depth Review Work Programme for the Board to review and amend as appropriate.

For further information please contact: Michelle McHugh
Overview and Scrutiny Manager
Tel: 01926 412144
michellemchugh@warwickshire.gov.uk

Would the recommended decision be contrary to the Budget and Policy Framework? No.

Background papers None

CONSULTATION ALREADY UNDERTAKEN:-

Details to be specified

- Other Committees
- Local Member(s) N/A
- Other Elected Members Cllr Appleton, Chair of OSB
- Cabinet Member Cllr Farnell, Leader
- Chief Executive
- Legal Sarah Duxbury, Corporate Legal Services Manager
- Finance
- Other Strategic Directors David Carter, Strategic Director for Customers, Workforce and Governance
- District Councils
- Health Authority

Police

Other Bodies/Individuals Jane Pollard, Democratic Services Manager

FINAL DECISION YES

SUGGESTED NEXT STEPS:

Details to be specified

Further consideration by this Committee

To Council

To Cabinet

To an O & S Committee

To an Area Committee

Further Consultation

Agenda No

Overview And Scrutiny Board - 3rd March 2010

Scrutiny In-depth Review Work Programme

Report of the Strategic Director for Customers, Workforce and Governance

Recommendation

The Board is recommended:

- i) To review the Scrutiny In-depth Review Work Programme and amend / re-prioritise as appropriate.
- ii) To discuss the proposal to establish a Joint Task and Finish Group to scrutinise the performance of the Public Service Board on a twice yearly basis as outlined in paragraph 3.2.
- iii) To nominate 2 or 3 members of the Board to work with the Overview and Scrutiny Manager to develop detailed proposals for a Joint Task and Finish Group and for these proposals to be discussed at the June meeting of the Board.

1. Background

- 1.1 At its meeting on 2nd September 2009, the Board agreed an in-depth Review Work Programme, based on the suggested topics that were identified through the Overview and Scrutiny Committee Workshops in July 2009. The purpose of the Review Work Programme is to enable effective planning of in-depth scrutiny work across all Overview and Scrutiny Committees.
- 1.2 The Review Work Programme is based upon a planning level of 6-10 in-depth reviews / select committees per year. The Board agreed that planning at this level would enable more effective management of O+S work and enable the Board to retain some flexibility in order to deal with any issues that arise throughout the year and any joint scrutiny issues identified by the Board.

2. In-depth Review Work Programme

- 2.1 An updated Review Work Programme is attached as Appendix A and includes the following changes.
 - A joint review between Health OSC and Children, Young People and Families OSC in relation to the Child and Adolescent Mental Health Services has been scheduled for February to April 2010, as agreed by the Board. This review will focus on waiting times for assessments and differences in service delivery between the north and south of the

County. It is anticipated that the review will be undertaken as a Select Committee.

- Following a successful bid to the Centre for Public Scrutiny (CfPS), a joint review between Warwickshire County Council, Rugby Borough Council and Nuneaton and Bedworth Borough Council in relation to Ante-Natal and Post-Natal support for Teenage Parents has been scheduled between February and November 2010. The review will be supported by a £5000 grant from the CfPS, along with 6 days of CfPS Expert Advisor Support. As the review is a joint review, it will be supported by officers from those Councils involved. The remaining Districts are being approached and invited to participate in the review.

2.2 The progress on the In-Depth Reviews that have commenced is outlined below:

- The Exclusions Task and Finish Group has scoped the review and held its first evidence session.
- The Safeguarding Task and Finish Group has scoped the review and held two evidence sessions
- The Review of Hawthorn Ward has held an initial evidence meeting and is planning to undertake further research before forming any conclusions.
- The Carers Support Task and Finish Group has scoped the review.

2.3 The agreed Review Scopes and Plans for each Task and Finish Group are available on the scrutiny pages of the Council's website, www.warwickshire.gov.uk/scrutiny

3. Proposal for Joint Scrutiny Review in relation to the Public Service Board

3.1 The remit of the Overview and Scrutiny Board includes commissioning, with partners, the arrangements for the review and or scrutiny (including joint scrutiny) of the development, coordination and performance of partnership working in Warwickshire including the local area agreement, and other significant partnerships. Cllr Appleton, Chair of the Overview and Scrutiny Board, has proposed that a joint task and finish group, consisting of representatives from all partner organisations, be established to scrutinise the performance of the Public Service Board (PSB) in relation to the following:

- Development and implementation of an improvement plan to address areas of concern highlighted in the CAA – particularly partnership working
- Development of shared services
- Delivery of the Sustainable Communities Strategy
- How the links between the PSB (executive body) and the Public Service Board Advisory Forum and delivery groups can be improved

3.2 At the same time as 3.1 above a recent review of partnership governance arrangements has also been examining the issue of accountability of partnership working and the role of Joint Scrutiny. These proposals have now been endorsed by the Public Service Board. It is recommended that the

Overview and Scrutiny Board establish appropriate mechanisms for ensuring that Block Leaders and LSP Chairs are invited to account for delivery of targets on a twice yearly basis. The Governance Review recommends that the Joint Scrutiny Board will report any issues to the Public Service Board.

- 3.3 The suggestions for Joint Scrutiny proposed by Cllr Appleton and the Governance Review are similar in nature. It is recommended that they be combined and that the Overview and Scrutiny Board establish a Joint Task and Finish Group to meet twice yearly to hold Block Leads to account for delivery of targets and to consider wider partnership issues, such as the CAA Improvement Plan.
- 3.4 In establishing a Joint Task and Finish Group, it is recommended that the Task and Finish Group should reflect the membership of the Overview and Scrutiny Board, including County Councillors, District Councillors, a member of the Police Authority and a representative from NHS Warwickshire. Inline with the membership of the Overview and Scrutiny Board, elected members of the Task and Finish Group should be members of a scrutiny committee.
- 3.5 In order to progress the establishment of a Joint Task and Finish Group it is recommended that the Board nominate 2 or 3 members to work with the Overview and Scrutiny Manager, to develop detailed proposals for the Task and Finish Group to be discussed at the June meeting of the Overview and Scrutiny Board.

DAVID CARTER
Strategic Director for
Customers, Workforce and
Governance

Shire Hall
Warwick

02 February 2010

In-depth Review Programme 2009/12

Review	Members	Nov 09	Dec 09	Jan 10	Feb 10	Mar 10	Apr 10	May 10	Jun 10	Jul 10	Aug 10	Sept 10	Oct 10	Nov 10	Dec 10	Jan 11	
Safeguarding	Cllrs: Ross (C), Perry (C), Jackson (L), Balaam (LD)	MM															
Exclusions	Cllrs: Robbins (C), Hazelton (C), Cockings (LD) and Naylor (L)	PW															
CAMHS	Cllrs: Watson (C), Ashford (C), Tooth (L), Boad (LD), McCarney (L), Roodhouse (LD), TBC 2 Conservative				AM / MM												
Health In Pregnancy Women 20+	Cllrs: Roache (SDC), (C), Fox (C), McCarney (L), Johnston (LD), TBC 1 Conservative WCC	AM															
Carers Support	Cllrs: Gittus (C), Watson (C), Rolfe (LD), Longden ((L)				PW												
Services for Teenage Parents (Joint)	Cllrs: Robbins (C), Warner (C), Findley (NBBC) (BNP), T. Wilson (NBBC) (C),				PW												
Low-Level intervention /prevention	Cllrs: Butlin (C), Wilson (C), Longden (L), Dodd (LD)				MM												
Over 65's Not In Good Health	TBC					AM											
Hawthorn Ward	Cllrs: Watson (C), Roodhouse (RBC rep) (LD), Tooth (L), Bragg (RBC rep) (C)				AM												
Integrated Youth Service	TBC											MM					
Alcohol Abuse	TBC													PW			
Climate change and carbon reduction	TBC												AM				

Not Timetabled: Home Care as this is dependent upon Adults OSC identifying how a further review will add value as the issue was considered by the Committee in 2007.

AM – Alwin McGibbon, MM – Michelle McHugh, PW – Paul Williams

AGENDA MANAGEMENT SHEET

Name of Committee Overview & Scrutiny Board

Date of Committee 3rd March 2010

Report Title Protocols - Local Involvement Networks and Overview & Scrutiny Working Together

Summary This report suggests protocol arrangements to promote good, effective working arrangements and to avoid duplication of work between OSCs and LINKs.

For further information please contact:

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Would the recommended decision be contrary to the Budget and Policy Framework? No

Background papers None

CONSULTATION ALREADY UNDERTAKEN:-

Details to be specified

- Other Committees
- Local Member(s)
- Other Elected Members Cllrs Appleton, Caborn, Ross, Shilton, Naylor, Longden, Tooth, Rolfe, Balaam and Boad
- Cabinet Member Cllrs Bob Stevens, Colin Hayfield, Izzi Seccombe
- Chief Executive
- Legal Sarah Duxbury
- Finance
- Other Chief Officers David Carter, Graeme Betts, Marion Davis,
- District Councils

Health Authority

Police

Other Bodies/Individuals Sharon Johal HAP UK, Paul Howard HAP UK,
Rachel Pearce NHS Warwickshire, Paul Tolley
Warwickshire CAVA, Nick Gower-Johnson County
Localities and Communities Manager
.....

FINAL DECISION NO

SUGGESTED NEXT STEPS:

Details to be specified

Further consideration by this Board

To Council

To Cabinet

To an O & S Committee

To an Area Committee

Further Consultation The Warwickshire Local Involvement Network
(LINK)

**Overview & Scrutiny Board
3rd March 2010.**

**Protocols –
Local Involvement Networks and Overview & Scrutiny
Committees Working Together**

**Report of the Strategic Director of Customers, Workforce &
Governance**

Recommendations

That the Overview and Scrutiny Board agree the draft protocols in Appendix 1 for consultation with the Warwickshire LINK.

1. Protocols for Joint Working

- 1.1 The aim of this paper is to put forward suggestions for joint working arrangements between LINKs and OSCs. There is no standard format for protocol arrangements, but these should include:
- a) The organisations signing up to the protocol
 - b) The aim of the protocol
 - c) The respective roles of the organisations
 - d) Principles underlying the commitment for joint working
 - e) The commitment of each partner organisation, including the sharing of information, agreed timescales for responding to requests, 'rules of engagement'
 - f) How issues would be referred, how these will be accepted, what information is required, how this will be communicated
 - g) Methods for addressing issues
 - h) How conflicts of interest will be handled
 - i) Joint working arrangements between LINKs and OSCs in conducting reviews how the arrangement will add value etc.
 - j) A date to review the protocol
- 1.2 It is important that these should be agreed in partnership and both bodies be involved in their development. This will ensure individual and group ownership of the principles and provide the guidance for working together.

- 1.3 Service Commissioners need also to consider protocols around:
- the arrangements with LINKs for inspecting services,
 - how/who will deal with information requests,
 - how they will use LINKs to gather local people’s view on County Council and NHS services,
 - how they will deal with any concerns raised by LINKs
 - the arrangements for all of the above for services provided jointly by WCC & NHS Warwickshire.
- 1.4 The suggested protocols for joint working between OSCs and the LINK are to be found in Appendix 1 and background information about the role of OSCs and LINKs can be found in Appendix 2

DAVID CARTER

CUSTOMERS,
WORKFORCE &
GOVERNANCE
DIRECTORATE

Strategic Director

Shire Hall
Warwick

12 February 2010

Draft Protocols
Local Involvement Network and Overview & Scrutiny Working Together

Aim

These protocols have been developed in line with Government Guidance to ensure that there are effective arrangements, which will aid partnership working and avoid duplication of work between Warwickshire County Council, Overview and Scrutiny Committees (OSCs) and Warwickshire Local Involvement Network (LINK).

Protocols for OSCs & LINK

1. The relevant OSC Chairs and the LINK to have formal arrangements to ensure matters can be dealt with promptly. This does not prevent more informal contact between the OSC Chairs and the LINK Chair or their respective nominate representatives.
2. Formal requests, correspondence etc between the OSCs and the LINK should be routed through the persons nominated by the respective bodies for this purpose.
3. Formal written requests from the LINK to an OSC or vice versa should receive a written response within 20 working days.

As an alternative response the relevant Chairs may decide that an informal meeting between the OSC and LINK nominated representatives may be more helpful to try to resolve how a matter may best be handled.

4. Formal LINK referrals to OSCs should only be conducted after steps have been taken to obtain information from service providers (outlined in Chart A) where the matter has not been resolved satisfactorily.
5. The relevant OSC Chair or their nominated representative will acknowledge referrals on issues within 20 working days and keep the LINK informed about any actions they take. Where it appears that the steps outlined in Chart A have not been taken the relevant OSC Chair may request the LINK to take those steps before referring the matter formally to an Overview and Scrutiny Committee.
6. The OSCs and the LINK share their respective work plans and agree their priorities to avoid duplication. The LINK Chair and the relevant OSC Chairs or their respective representatives should meet at least once a year to establish their respective priorities for the following year.
7. The OSCs and the LINK share reports and recommendations from any in depth reviews they have completed, and ensure there are mechanisms in place to receive comments from each other either electronically or at their

respective meetings. The nominated representatives shall agree for each review the most appropriate method of receiving comments.

8. The OSCs and the LINK share information about the outcomes of any recommendations they have made to ascertain what differences they have made to the local health and social care services.
9. The relevant OSC public committee papers (Adult and Community Services; Children Young People and Families; Health OSCs) will be sent electronically to the LINK HOST 5 working days before any committee meeting so it can be forwarded to nominated LINK representatives, to enable them to contribute to discussions at the OSC meeting, where they may have a view which would inform the committee.
10. There should be a reciprocal arrangement of nominated OSC Members also receiving access to papers/information in advance from the HOST about relevant LINK meetings.

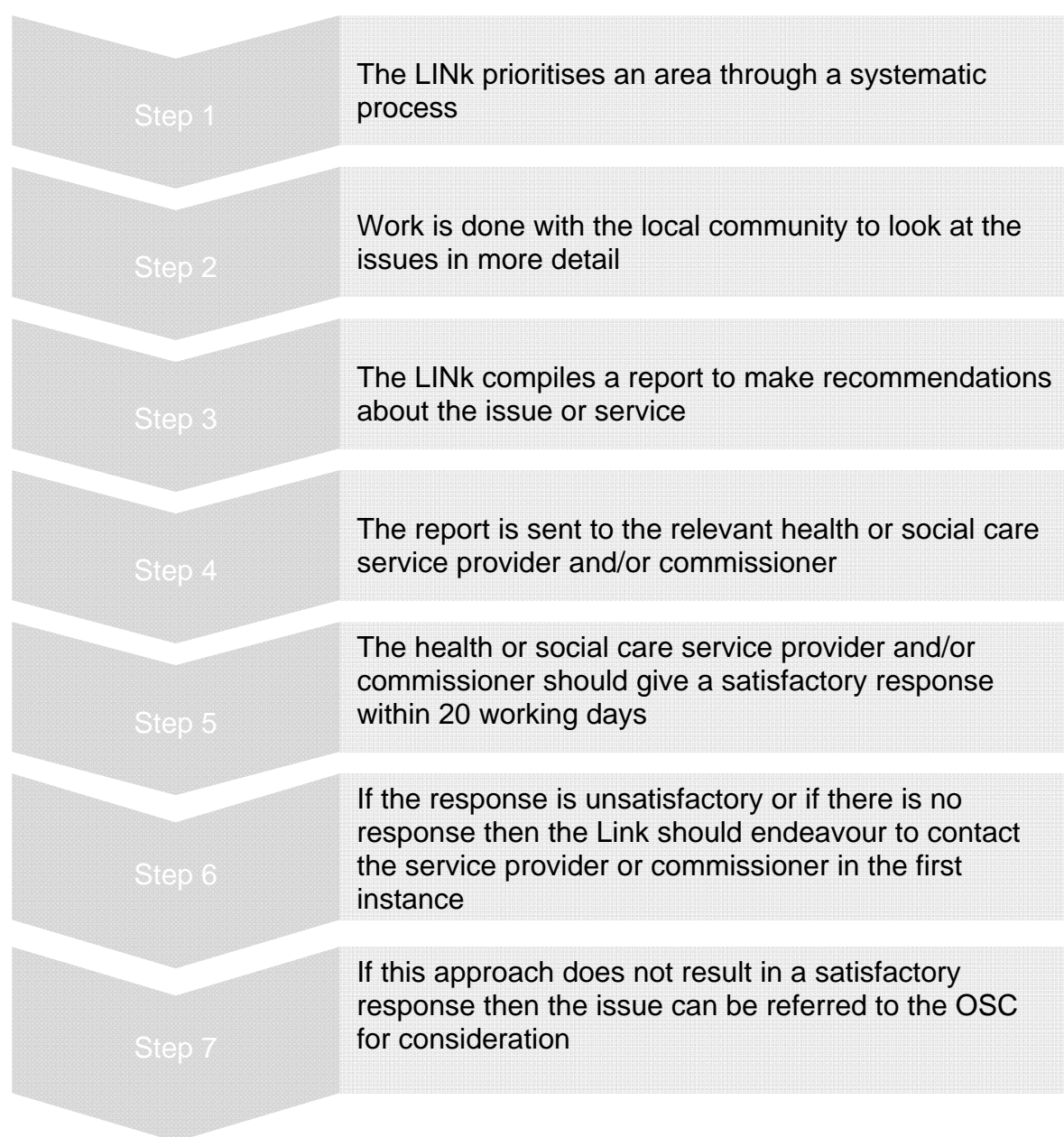
The LINK Host will send (to be inserted when agreed)

11. That LINK representatives and OSC Members will conduct themselves in a courteous way when attending each other meetings and in accordance with their respective codes of conduct.
12. When Health, Children Young People and Families or Adult & Community Services OSCs conduct an in depth review into social care or health services one place will be made available for a nominated LINK representative (with an interest or expertise on the topic) to be on the scrutiny review panel, with a reciprocal arrangement for an OSC Member to be involved with a LINK working group in similar circumstances. However there will be times where the OSC or the LINK considers they would need to work separately. When this is considered necessary this should be mutually agreed by the Chair of the relevant OSC and Chair of LINK or their respective representatives).
13. That LINK supports OSC's in joint working arrangements/reviews by obtaining local people's views on County Council social care services and NHS services or providing access to previous surveys, when appropriate. The LINK has access to resources such as Community Voices On-line & SNAP, which would add value to the work of OSCs and also would help raise the profile of the LINK by recognising their complementary but differing role.
14. That the protocols/working arrangements are reviewed in 12 months time to ensure they continue to work effectively and make any necessary amendments if required
15. For the above protocols to work effectively Service Commissioners need also to consider protocols around:
 - the arrangements with LINKs to enter, view and observe the carrying on of activities in health and social care premises,
 - how/who will deal with information requests,

- how they will use LINKs to gather local people’s views on County Council and NHS services,
- how they will deal with any concerns raised by LINKs
- the arrangements for all of the above for services provided jointly by WCC & NHS Warwickshire.

16. When Services Commissioners agree the arrangements in 14 above, a copy of the Primary Care Trusts and Social Care agreed protocols with the LINK with details of who will deal with information requests, should be made available to OSCs. This is to provide committee members with key points of contact, when considering any health or social care issues that may arise, which requires a response from the LINK.

Chart A



1. Introduction

- 1.1 The following information gives a brief overview of the role of Local Involvement Networks (LINKs) and Overview and Scrutiny Committees (OSCs), their powers and how they can work together for the benefit of the local community with protocols for joint working arrangements. Successful patient, service user and public engagement relies on LINKs and OSCs building a good relationship with each other, based on joint working to become more effective, as both have a responsibility for engaging local people.

2. Summary of Roles

- 2.1 LINKs and OSCs have a role to identify locally important issues by reaching out to the public in different ways.
- 2.2 The role of the LINK is to:
- Provide everyone within the local community with an opportunity to have their say about their local health and social care services – what is working well and what could be improved
 - Provide feedback to service leads on what people have said about services, so that they can change things for the better
 - Provide a coordinated system that health and social care services can use to involve communities
 - Provide a coordinated approach to monitoring health and social care
 - Provide a stronger, representative and more independent voice.
- 2.3 The roles of OSCs and LINKs are different, but complementary. For example LINKs have the powers to enter and view places where services are provided, whereas OSCs have powers to call staff to meetings to explain decisions and proposals. (See table A)

Table A

Overview & Scrutiny Committees (Health and/or Social Care)	Local Involvement Networks
<p><i>Community Leadership Role</i></p> <ul style="list-style-type: none"> ➤ Elected members act as representatives of their community ➤ Health and/or social care issues ➤ No powers to 'enter and view' ➤ Scrutinise health & social care impact of Local Authority Services, for example education and transport ➤ Broad overview of local health and social care issues then scrutinise priority areas ➤ Right to require information and attendance from cabinet members, senior council officers and NHS staff ➤ Define substantial developments and variations of health services ➤ Refer proposals to the Secretary of State in specific circumstances ➤ Make recommendations and require a response from NHS bodies and Council Executive 	<p><i>Local People and Groups</i></p> <ul style="list-style-type: none"> ➤ Ask local people what they think about local health and social care and provide a chance to suggest ideas to improve services ➤ Investigate specific issues of concern to the community ➤ Use powers to hold providers and commissioners to account and get results ➤ Ask for information and get an answer in a specified amount of time ➤ Authorise representatives to be able to 'enter and view' premises to see if services are working well ➤ Make reports and recommendations and receive a response ➤ Refer issues to relevant OSCs
<p style="text-align: center;"><i>Common Functions and Rights</i></p> <ul style="list-style-type: none"> ➤ Act as a critical friend ➤ Provided with information by health and social care organisations ➤ Health and social care organisations required to respond to recommendations made 	

3. Powers of Health and/or Social Care OSCs

3.1 Overview and Scrutiny Committees may:

- a) Review and scrutinise any matter relating to the planning, provision and operation of health and social care services in the area.
- b) Make reports and recommendations to local NHS bodies and the Council on any matter reviewed or scrutinised using the overview and scrutiny of health and/or social care powers and expect a response within a specific period of time
- c) Require the attendance of an officer of a local NHS body or senior council officers to answer questions and provide explanations relating to the planning, provision and operation of health services and social care services in the area.
- d) Require a local NHS body to provide information relating to the planning, provision and operation of health services in the area within a specific period of time, subject to exemptions outlined in the Health and Social Care Act 2001

- e) Establish joint committees with other Local Authorities to undertake overview and scrutiny of health and/or social care services
- f) Co-opt members of the overview and scrutiny committees of borough/district councils onto Health OSC as full members (county council committees only)
- g) Acknowledge receipt of a formal referral from a LINK and inform the LINK of any actions it is planning to take
- h) Health OSC can report to the Secretary of State for Health:
 - Where the committee is concerned that consultation on substantial variations or development of health services has been inadequate
 - Where the committee considers the proposal is not in the interests of the health service

4. Powers of Local Involvement Networks

4.1 Local Involvement Networks may:

- a) Ask people what they think about health and social care services
- b) Give people a chance to suggest ideas to care professionals that may help improve services
- c) Look at specific issues of concern to the community
- d) Make recommendations to the people who plan and run services and expect a response within a specific period of time
- e) Ask for information about services and get answers within a specified amount of time
- f) Carry out visits, when necessary to see if services are working well (checks are carried out under safeguards)
- g) Refer issues to the local OSC that covers health and/or social care and get a response

5. Working with NHS & Local Authority Partners

- 5.1 LINKs will help Primary Care Trusts and local councils build peoples' views into Joint Needs Assessments, Local Area Agreements and all parts of the commissioning process, helping to make sure commissioning is 'world class'
- 5.2 LINKs will help Trust Boards, councils and care providers access a wide range of views, helping to develop care pathways, ensure continuous improvement and compliance with Standards for Better Health and duties to consult and involve.
- 5.3 LINKs can use a range of consultation methods to understand the views of the community and service users. Where appropriate this information informs information requests to investigate issues further and is translated into specific recommendations for service improvement.
- 5.4 In order for LINKs to voice local views on local service provision, LINKs can:
 - Ask commissioners for information and get a reply within a set period of time;
 - Make reports and recommendations to commissioners and receive a response within a set period of time;
 - Use enter and view powers for some types of health and social care premises to observe the nature and quality of services; and
 - Refer issues to the local Overview and Scrutiny Committee and receive a response.

- 5.5 In relation to the latter it is important that issues are referred to OSCs
- through a LINK nominated individual
 - that it should not be asking OSCs to address individual issues – these should be signposted to the relevant Patient Advice and Liaison Services(PALS), Customer Relations or Independent Complaints Advocacy Services (ICAS)
 - That LINKs go through the stepped process outlined in Section 4 - Chart A before referring any issues to OSCs

6. OSCs and LINKs Working Together

6.1 Although the role of OSC and LINKs have been established through different legislation it is expected that they will work together to prioritise and address local issues. By developing joint working arrangements in looking at health and social care priorities the LINK and OSC should share their respective work plans to ensure they complement each other, whilst maintaining their specific identities. The benefits from joint working between OSCs and LINKs should include:

- a) What LINKs could add to scrutiny reviews (undertaken by OSCs) such as obtaining information on the experiences of patients, service users, carers and the public in using health and/or social care services
- b) LINKs and OSCs identifying shared priorities within their respective work programmes
- c) LINKs and OSCs measuring the impact of recommendations they have made by monitoring whether these have been implemented by health and/or social care commissioners and providers
- d) Ways of ensuring the community has a voice by providing an effective route for formal referrals from the LINK to OSC
- e) Ways of improving decision making processes across health and social care services
- f) Ways to avoid duplication and to complement each other's roles
- g) The development of a strong community perspective on health and social care matters that influences change and informs regulation and assessment of services

7. Building Relationships

7.1 It is important that LINKs and OSCs develop working relationships with each other and with service providers and commissioners to identify priorities and make effective use of roles and resources. This should include:

- a) Regular meetings with the LINK, key councillors, health and social care partners around issues of mutual interest and common work programmes
- b) Sharing opportunities to communicate with the public such as sharing of web pages for surveys, discussion groups, etc and having a OSC webpage on LINK website and visa versa
- c) A representative of the LINK attend OSC meetings and/or Scrutiny Members attend a LINK stewardship meeting and reporting back to their specific groups
- d) Joint working arrangements such as a LINK participant/member joining an OSC working group as a co-opted member or Scrutiny Member joining a LINK working group.

- e) Agreement on said joint working arrangements and sharing of information when reviewing the Annual Public Health Report, PCT's Strategy & Local Delivery Plan, Care Quality Commission (CQC) - Core Standards, Comprehensive Area Assessments (CAA) or when looking at specific areas of concern identified by the local community.
- f) Agreement on joint arrangements/protocol to identify when a change of service should be considered 'substantial' which requires the NHS to formally consult with the OSC and the public
- g) Agreement on the arrangements on when and how matters of concern should be referred from LINKs to OSC's and vice versa (see chart A Appendix 1)
- h) An agreement that OSCs will acknowledge referrals relating to health and social care matters from LINKs within 20 working days and keep LINKs informed about actions they might take, if any, with respect to those referrals as stated on the Local Government and Public Involvement in Health Act 2007 and the Local Involvement Networks Regulations 2008.

AGENDA MANAGEMENT SHEET

Name of Committee	Overview and Scrutiny Board
Date of Committee	3 March 2010
Report Title	Overview and Scrutiny Work Programme 2010
Summary	A table setting out provisional items for future meetings is attached.
For further information please contact:	Ann Mawdsley Principal Committee Administrator Tel: 01926 418079 annmawdsley@warwickshire.gov.uk
Would the recommended decision be contrary to the Budget and Policy Framework?	No.
Background papers	None

CONSULTATION ALREADY UNDERTAKEN:- Details to be specified

- Other Committees
- Local Member(s)
- Other Elected Members
- Cabinet Member
- Chief Executive
- Legal
- Finance
- Other Chief Officers
- District Councils
- Health Authority
- Police
- Other Bodies/Individuals

FINAL DECISION YES

SUGGESTED NEXT STEPS:

Details to be specified

- Further consideration by this Committee
- To Council
- To Cabinet
- To an O & S Committee
- To an Area Committee
- Further Consultation

Overview and Scrutiny Board Work Programme 2010

DATE	Report and Objectives
2 June 2010	<p>a) LAA Q4 performance - <i>To review LAA performance information, identifying issues of concern and commissioning joint scrutiny exercises as appropriate (Bill Basra)</i></p> <p>b) WCC's Performance Reporting to OSC's - <i>To review the effectiveness of WCC Improvement Reporting to OSC's and make amendments at appropriate (Tricia Morrison / Michelle McHugh)</i></p> <p>c) End of Year performance information relating to the O+S function - <i>To review performance of O+S function (Michelle McHugh)</i></p> <p>d) End of year progress report on the O+S Strategy - <i>To review progress made against the O+S Strategy Action Plan and make amendments as appropriate (Michelle McHugh)</i></p> <p>d) In-depth Scrutiny Review Programme - <i>To review and re-prioritise as appropriate the in-depth scrutiny review programme (Michelle McHugh)</i></p>